NOV 2 0 2002 W

11-21-02

176 Ly

Date 1/20/02 Label No. 2 0 8 5 5 5 9 3 9 - 45

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W.LEE Name (Print) B.W. Lee

Customer No.:

07278

PATENT TRADEMARK OFFICE

Docket No.: 2830/11079US1

RECEIVED

NOV 2 5 2002

TC 1700

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dr. Fred J. Cadieu

Serial No.: 10/051,211

Confirmation No.: 7210

Filed:

January 16, 2002

For:

A METHOD FOR MAKING FILMS UTILIZING A PULSED LASER FOR

ION INJECTION AND DEPOSITION

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a

M:\2830\11079US1\LMD2886.WPD;1

copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued October 17, 2001 for the International counterpart of the present patent application (Application No. PCT/USO2/01301), in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed before a first action on the merits has been mailed.

The present SupplementalInformation Disclosure Statement is being

Serial No. 10/051,211

Docket No. 2830/11079US1

submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: November 20, 2002

Marie L. Collazo

Registration No. 44,085

Agent for Applicant(s)

DARBY & DARBY Post Office Box 5257 New York, NY 10150-5257 (212) 527-7700



U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 1 OF 1 (REV. 7-80)

#### LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

**DOCKET NO.:** APPLICANT:

2830/11079US1

**SERIAL NO:** FILING DATE: 10/051,211

Dr. Fred J. Cadieu

January 16, 2002

**CONFIRMATION NO: 7210** 

## **U.S. PATENT DOCUMENTS**

RECEIVE NOV 25 2002 TC 1700 \*EXAMINER **DOCUMENT** INITIALS DATE NAME CLASS SUBCLASS FILING DA NUMBER 1.5,733,609 3/31/98 561 6/1/93 Wang 427 2. 5,760,366 6/2/98 Haruta et al. 219 121.68 8/7/96

### FOREIGN PATENT DOCUMENTS

\*EXAMINER DOCUMENT TRANSLATION YES NO INITIALS NUMBER COUNTRY DATE CLASS SUBCLASS

# **OTHER REFERENCES** (INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

### \*EXAMINER **INITIALS**

3. Y.Y. Tsui et al., Guiding and Confinement of a Laser Produced Plasma by a Curved Magnetic Field, Applied Physics Letters, vol. 70, no. 15, April 14, 1997, pp 1953-1955.

4. Y.Y. Tsui et al., Magnetic Guiding of Laser Plasmas, Proceedings of the 1998 IEEE International Conference on Plasma Science, 1998, page 136.

EXAMINER: _	 DATE CONSIDERED:	

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in \*EXAMINER: conformance and not considered. Include copy of this form with next communication to applicant.